

# **HHS Public Access**

Am J Psychiatry. Author manuscript; available in PMC 2016 September 16.

Published in final edited form as:

Author manuscript

Am J Psychiatry. 2016 July 1; 173(7): 705-713. doi:10.1176/appi.ajp.2015.15091171.

## Distress During Pregnancy: Epigenetic Regulation of Placenta Glucocorticoid-Related Genes and Fetal Neurobehavior

Catherine Monk, Ph.D., Tianshu Feng, M.S., Seonjoo Lee, Ph.D., Izabela Krupska, M.A., Frances A. Champagne, Ph.D., and Benjamin Tycko, M.D., Ph.D.

Department of Psychiatry, Columbia University Medical Center, New York; New York State Psychiatric Institute, New York; the Department of Obstetrics and Gynecology, Columbia University Medical Center, New York; the Department of Biostatistics, Mailman School of Public Health, Columbia University Medical Center, New York; Institute for Cancer Genetics, Columbia University Medical Center, New York; the Department of Psychology, Columbia University, New York; and the Department of Pathology and Cell Biology, Columbia University Medical Center, New York

## Abstract

**Objective**—Increased risk of psychopathology is observed in children exposed to maternal prenatal distress, and elevated maternal cortisol and epigenetic regulation of placental glucocorticoid-pathway genes are potential mechanisms. The authors examined maternal distress and salivary cortisol in relation to fetal movement and heart rate ("coupling") and DNA methylation of three glucocorticoid pathway genes—*HSD11B2*, *NR3C1*, and *FKBP5*—in term placentas.

**Method**—Mood questionnaires and salivary cortisol were collected from 61 women between 24–27 gestational weeks, and fetal assessment was conducted at 34–37 weeks. Placental CpG methylation in the three genes was analyzed using 450K Beadchips and bisulfite sequencing; correlations between maternal and fetal variables and DNA methylation were tested; and maternal distress effects on fetal behavior via DNA methylation were investigated.

**Results**—Perceived stress (Perceived Stress Scale), but not cortisol, was associated with altered CpG methylation in placentas. In the highest tertile of the Perceived Stress Scale, the Beadchip data revealed modestly elevated methylation of *HSD11B2*, associated with lower fetal coupling ( $\beta$ =-0.51), and modestly elevated methylation of *FKBP5*, also with lower fetal coupling ( $\beta$ =-0.47). These increases in methylation were validated by bisulfite sequencing, where they occurred in a minority of clones.

**Conclusions**—This is the first study to link the effects of pregnant women's distress on the fetus and epigenetic changes in placental genes. Since increased DNA methylation in *HSD11B2* and

Address correspondence to Dr. Monk (cem31@columbia.edu).

Previously presented at the Annual Conference of Biological Psychiatry, Toronto, May 13-15, 2015.

The authors report no financial relationships with commercial interests.

NIMH had no role in the study design, in the collection, analysis, and interpretation of the data, in writing the study, or in the decision to submit the results for publication.

*FKBP5* are seen in a minority of bisulfite sequencing clones, these epigenetic changes, and functional consequences, may affect subpopulations of placental cells.

Depression, anxiety, and stress affect a dyad during pregnancy—the woman and her future child. Consistent with the Developmental Origins of Health and Disease model (1), prenatal distress is associated with heightened psychiatric risk (2), suggesting a "third pathway" for the familial transmission of disease beyond shared genes and the postnatal effects of maternal psychopathology: the influence of pregnant women's distress on fetal neurobehavioral development. However, the mechanisms underlying this prenatal transmission of risk remain unclear (3). The placenta, which regulates the prenatal environment, is a focus of emerging research suggesting that maternal distress exerts epigenetic influences on glucocorticoid-related genes and, in turn, infant outcomes (4). However, no prior studies have considered maternal distress and the hypothalamic-pituitary-adrenal (HPA) axis in relation to placental gene regulation and fetal behavior, which could provide substantiating evidence for the in utero shaping of children's future mental health.

Children exposed to maternal prenatal distress are at an increased risk for psychopathology (5), including attention deficit hyperactivity disorder and cognitive deficits (5), with later life emergence of schizophrenia and affective disorders (6). Experience-associated alterations in maternal HPA axis, in particular increased cortisol, is a potential mediating pathway (7), a conclusion reinforced by data from animal models (8). However, in humans, associations between women's distress and HPA-axis activation are inconsistent, which has limited the possibility of detecting a biological mediator of the prenatal effects (7).

Recent findings suggest that heightened maternal anxiety increases the association between plasma and amniotic cortisol levels (9), and it has been suggested that women's distress may affect children's outcomes via epigenetic regulation of glucocorticoid pathway genes in the placenta. Higher anxiety was found to be associated with a downregulation of placental mRNA for the gene encoding  $11\beta$ -hydroxysteroid dehydrogenase type 2 (HSD11B2) (11\beta-HSD-2 is a barrier enzyme that inactivates glucocorticoids [7]), and higher anxiety and modestly increased DNA methylation of placental HSD11B2 were together associated with lower muscle tone in newborns (10). Maternal depression and greater placental DNA methylation of NR3C1, the gene encoding the glucocorticoid receptor, predicted poorer selfregulation, lower muscle tone, and more lethargy in neonates (10, 11), although other reports showed maternal adversity (depression, low socioeconomic status) to be associated with increased placental levels of NR3C1 mRNA (12, 13). Placental DNA methylation of FKBP5 (FK506 binding protein, a molecular chaperone of glucocorticoid receptor regulation) was associated with an increased likelihood of high arousal in newborns (14). In cultured cells, glucocorticoids upregulated placental HSD11B2 expression (15); their effect on placental NR3C1 and FKBP5 has not been characterized, although cortisol-associated alterations in the functioning of both genes are involved in glucocorticoid resistance and basal hypercortisolemia (16).

Evidence of maternal prenatal distress and epigenetic changes in the placenta influencing newborn neurobehavior validates the Developmental Origins of Health and Disease model by isolating the effect to the in utero period. Examining fetal neurobehavior provides the

same advantages. Neurobehavioral assessment before birth, specifically the cross correlation between fetal movement and heart rate, "coupling," provides an index of fetal CNS development (17). Coupling reliably increases over gestation, changing from typical values of 0.40–0.70 (3, 18, 19), reflecting the coordination of the autonomic and somatic systems (17), and is positively associated with more mature neural integration at birth based on brain stem auditory-evoked potentials (20). Maternal cortisol is associated with alteration in the expected coupling increase, and distress is inversely associated with coupling levels (19).

In the present study, we examine four assessments of second-trimester maternal distress (perceived stress, depression, anxiety, and pregnancy-specific stress), as well as an assay of the HPA axis (daily cortisol) in relation to DNA methylation of three glucocorticoid-related placenta genes (*HSD11B2*, *NR3C1*, and *FKBP5*) and third-trimester fetal coupling. We tested the hypothesis that higher distress would predict increased DNA methylation in these genes and that elevated cortisol would be associated with less DNA methylation of *HSD11B2* yet greater DNA methylation of *NR3C1* and *FKBP5* based on glucocorticoid receptor downregulation by glucocorticoids in other organs and evidence that dexamethasone produces decreases in glucocorticoid receptor mRNA in placenta trophoblast cells (15). We further predicted that maternal prenatal distress and cortisol would influence fetal neurobehavior and tested whether changes in DNA methylation correlated with these associations.

## **METHOD**

## Participants

Healthy pregnant women, ages 18–45, were recruited through the Department of Obstetrics and Gynecology at Columbia University Medical Center. Women were excluded if they acknowledged smoking or use of recreational drugs, lacked fluency in English, were multiparous, or taking medications. Over a 2-year period (2011–2013), 110 participants were recruited. To allow for replication of our findings, this report describes our targeted gene approach in 64 participants (three participants were excluded from all analyses because they gave birth prior to 37 weeks gestation). One woman conceived using a donor egg. The analyzed and nonanalyzed participants did not differ on variables (age, body mass index [BMI], gestational age at birth, birth weight, infant sex, race/ethnicity, or three out of four mood variables; the unanalyzed sample scored higher on the Pregnancy Distress Scale). All enrolled participants provided written, informed consent, and all procedures were approved by the institutional review board of the New York State Psychiatric Institute/Columbia University Medical Center and Weill Cornell Medical College.

### **Study Procedures**

Sixty-one pregnant women completed mood questionnaires and daily salivary cortisol collection between 24 and 27 gestational weeks and underwent fetal assessment at 34–37 weeks; each of these study sessions involved three consecutive days of testing (see reference 3). At birth, a placenta sample and medical data were collected.

## **Maternal Characteristics and Birth Outcomes**

Gestational age at birth, birth weight, C-section status, and sex of the infant were determined from the medical record. Gestational age at study sessions was determined based on ultrasound examinations and last reported menstrual cycle. BMI was calculated using prepregnancy weight from self-report and measured height, along with maternal age.

## Salivary Cortisol

Forty-eight hour salivary cortisol collection began during the first day of each study session. Subsequent samples on the second day were collected at waking; at 45 minutes, 2.5 hours, 3.5 hours, and 8hoursafter waking; and at 10:00 p.m. or before going to bed. The Medication Event Monitoring System track cap (Aardex, Union City, Calif.) was used to monitor collection times. After collection, cotton was placed in a Salivette tube (Sarstedt, Newton, N.C.), returned to the laboratory, and frozen at -80°C. Cortisol was measured by ultraperformance liquid chromatography-tandem mass spectrometry assay developed by the Irving Institute for Clinical and Translational Research, Columbia University Medical Center. The lower limit of quantitation was 100 pg/mL. Intra-assay and interassay coefficients of variation are less than 3.4% and 3.6% over the analytical measurement range (100 pg/mL–50,000 pg/mL).

## **Maternal Distress**

Participants completed four questionnaires. Two were self-report questionnaires: the Prenatal Distress Questionnaire (21) and the Perceived Stress Scale (22). And two were interview-based questionnaires: the Hamilton Depression Rating Scale (HAM-D) (23) and the Hamilton Anxiety Rating Scale (HAM-A) (24).

### Fetal Assessment

Participants were in a semi-recumbent position for 20 minutes as fetal movement and heart rate were acquired. Data were obtained using a Toitu MT 325 fetal actocardiograph (Toitu Co., Ltd., Tokyo), which detects fetal movement and heart rate via a single transabdominal Doppler transducer. The fetal data were collected from the Toitu's output port, digitizedat50Hzusinga16-bitA/Dcard(National Instruments 16XE50) and analyzed offline; the cross-correlation ("coupling") of fetal movement and heart rate was calculated as previously described (3).

### Analysis of CpG Methylation in Placentas

A 1-cm<sup>3</sup> sample of the placenta near the fetal surface was collected, and genomic DNA extracted. DNA was assessed by agarose gel electrophoresis with ethidium bromide staining and by PicoGreen assays (Life Technologies, Carlsbad, Calif.). DNA, 500 ng, was bisulfite converted and analyzed according to the manufacturer's instructions for Illumina Human Methylation 450K BeadChips, with all assays performed at the Roswell Park Cancer Institute Genomics Shared Resource. Data were processed using Genome Studio software, which calculates the fractional methylation (AVG\_Beta) at each CpG, after background correction and normalization to internal controls. All probes querying CpGs that overlapped common single-nucleotide polymorphisms (SNPs) (SNPs with minor allele frequency 1%

in dbSNP build 138) were removed. Bisulfite sequencing was performed by converting the DNA samples using the EpiTect Bisulfite kit (Qiagen, Hilden, Germany), amplifying the DNA by polymerase chain reaction (PCR), cloning using the TOPO TA Cloning kit (Life Technologies, Carlsbad, Calif.), with three independent PCRs pooled for each sample prior to bacterial transformation, and sequencing of multiple clones.

#### **Data Transformation and Analysis Plan**

To index HPA axis activity, cortisol area under the curve was calculated from the wake-up time to bedtime on the second day of collection because the second day included a timebased, uniform protocol (as previously described [3]). For inclusion in area under the curve analyses, we required the first wake-up collection and 8-hour time span from the first to last sample. Cortisol area under the curve data were not normally distributed and were log transformed to satisfy normality by the Kolmogorov-Smirnov test. Descriptive statistics were calculated using mean and standard deviation for continuous variables and percent for categorical variables. Spearman's rank-order correlation analyses were used to examine associations between DNA methylation outcomes and covariates of interest and to identify bivariate relations between differentially methylated CpG sites within NR3C1, HSD11B2, and FKBP5 and fetal outcomes and maternal variables. We performed mediation analyses to investigate the hypotheses that maternal distress may have an effect on fetal development via DNA methylation. We used structural equation modeling with bootstrapping to estimate and test the significance of direct and indirect effects. In all analyses, infant sex, gestational agecorrected birth weight, and C-section status were included as covariates. Analyses were run including and excluding the donor egg participant with no differences identified.

## RESULTS

### Demographic, Clinical, and Newborn Characteristics

Demographic, clinical, fetal coupling, and birth outcome data are summarized in Table 1. Seventy-five percent of the sample was Latina, and 80% had more than a high school education. Average pre-pregnancy BMI, infant birth weight, and gestational age at birth were consistent with population norms. Thirty-four percent of the sample had C-sections (N=7 for medical reasons [e.g., nonreassuring fetal heart rate tracing, failed induction]; N=9 based on prior C-section or election for it; N=6 missing specification). Average maternal distress was low. A HAM-D score of 8–13 indicates mild depression; a HAM-A score of 18–24 represents mild to moderate anxiety (23, 24). The Perceived Stress Scale value for psychiatrically healthy pregnant women is typically 20, and for those with diagnosed depression the value is typically 26 (25). The HAM-A, HAM-D, and Perceived Stress Scale scores were highly correlated (rs >0.50, p<0.0001). The Prenatal Distress Questionnaire was associated with the other distress measures, although less so (rs >0.26; all p values<0.05). Maternal demographic variables were not associated with distress, except one: Latina participants had elevated HAM-A scores (p<0.05).

#### Mood and Cortisol

There were no associations between any maternal mood variable and area under the curve cortisol.

## **Fetal Coupling**

Average fetal coupling was 0.60 (SD=0.08) (see Table 1), comparable to other samples (3). Maternal mood and area under the curve cortisol were not associated with fetal coupling.

#### Maternal Factors and Placental DNA Methylation

Based on the fractional methylation values of nonpolymorphic CpG sites (41 for NR3C1, 13 for HSD11B2, and 34 for FKBP5), we identified blocks of correlation patterns between placental DNA methylation and maternal mood and fetal coupling (Figure 1). Inspection of the heat maps indicated that the Perceived Stress Scale was most consistently associated with placental DNA methylation across specific blocks of CpG sites for the three genes. Two blocks of CpG sites were identified for FKBP5. The average DNA methylation value for each block is reported in Table 1. Average DNA methylation among these three genes was highly positively correlated (lowest r=0.53; all p values <0.0001). Bivariate correlations between maternal variables and placental DNA methylation across the three candidate genes showed that higher Perceived Stress Scale score was consistently associated with greater DNA methylation (r=0.27–0.41; all p values <0.05). The HAM-A and HAM-D were associated with greater DNA methylation for FKBP5 (block A) (r=0.32 and 0.36, respectively; all p values <0.01). To characterize methylation differences with respect to typical clinical cut-points for prenatal depression, we followed Ji et al. (26) in defining a score >15 for HAM-D as a reliable indicator of a major depressive episode and compared DNA placental methylation for these women with those who had a HAM-D score <6. The associated Perceived Stress Scale scores were 36 and 11, respectively. Across the three genes, placental DNA methylation differed significantly (all p values 0.03). Cortisol area under the curve was not associated with average placental DNA methylation for the identified CpG blocks.

### **Placental DNA Methylation and Fetal Coupling**

Greater DNA methylation of *HSD11B2* was associated with less coupling (r=–0.40, p<0.01), with a similar result for *FKBP5* that fell short of statistical significance (block A) (r=–0.24, p=0.10). There were no significant associations for *NR3C1*. Fetal sex and other perinatal variables were not associated with DNA methylation levels, excepting birth weight corrected for gestational age, which was inversely associated with *FKBP5* DNA methylation (block B) (r=–0.27, p<0.05). As shown in Figure 2, placentas in the lowest tertile of DNA methylation of the *HSD11B2* were associated with lower mean Perceived Stress Scale scores than those in the highest tertile (p=0.0337), and placentas in the lowest tertile of DNA methylation were associated with higher fetal coupling than those in the highest tertile (p=0.0050). Results for *FKBP5*, which fell short of statistical significance in the same direction, are presented in Figure S1 in the online data supplement. *NRC31* methylation was not associated with the fetal outcome. The differentially methylated regions for *HSD11B2* and *FKBP5* are reported in Figures S2–S4 in the online data supplement.

### Maternal Perceived Stress Scale Effects on Fetal Outcomes and Placental DNA Methylation

Mediation analyses were used to investigate the hypotheses that maternal Perceived Stress Scale score influences fetal coupling via greater DNA methylation of *HSD11B2* and *FKBP5* 

(block A). Infant's sex, gestational age-corrected birth weight, and C-section status were included as covariates (27). To evaluate potential mediation paths, standardized coefficients are reported. For *HSD11B2*, Perceived Stress Scale was associated with greater DNA methylation ( $\beta$ =0.47, p<0.001), which in turn was associated with lower fetal coupling ( $\beta$ = -0.51, p<0.001). This indirect effect (Perceived Stress Scale to fetal coupling through DNA methylation) was statistically significant ( $\beta$ =-0.24, p<0.01). Similar to our prior work (3), after controlling for *HSD11B2*, there remained a positive direct association between

Perceived Stress Scale and fetal coupling (see Figure 3). For *FKBP5* (block A), Perceived Stress Scale was associated with greater DNA methylation ( $\beta$ =0.59, p<0.0001), which in turn was associated with lower fetal coupling ( $\beta$ =-0.47, p<0.01). This indirect effect was statistically significant ( $\beta$ =-0.27, p<0.05).

Importantly, since mean differences in fractional DNA methylation between placentas from low- and high-stress pregnancies were near the limit of sensitivity (28) of the 450K Beadchips (5%–10%), and since the Beadchips query only a small fraction of CpGs in each gene, we independently validated the array data using bisulfite sequencing. Consistent with the Beadchip data, bisulfite sequencing data indicated that multiple CpGs in *HSD11B2* display a modest increase in DNA methylation in high Perceived Stress Scale placentas, accounted for by a minority of clones (Figure 4). *HSD11B2* DNA methylation was low in all placentas, and thus the difference in DNA methylation between low- and high-stress placentas is strong when considered as a fold change (approximately 1.8-fold increase) but modest when considered as an absolute change (<10% increase). Bi-sulfite sequencing yielded similar validations of modest (<10%) but verifiable differences in overall DNA methylation in the two blocks (A and B) of the *FKBP5* gene in placentas from low- versus high-maternal Perceived Stress Scale scores (see Figure S5 in the online data supplement).

## DISCUSSION

To our knowledge, this is the first study to identify the effects of pregnant women's distress on their offspring in utero and epigenetic changes in placental gene DNA methylation as a potential pathway for this influence. Corroborating prior studies (11, 29), higher perceived maternal prenatal stress was associated with mildly increased DNA methylation of glucocorticoid-related genes—*HSD11B2*, *FKBP5*, *NR3C1*—in the placenta; unique to this report, increased DNA methylation of *HSD11B2* and *FKBP5* was associated with reductions in a key fetal outcome (coupling) predictive of infant neurobehavioral development (20). Research on developmental origins of health and disease has shown associations between women's adverse pregnancy experiences and children's heightened risk for psychopathology (2), and thus our results here provide proximal evidence for the putative prenatal shaping of children's mental health trajectories.

Consistent with the low-risk status of our study's sample, specifically their healthy birth outcomes and low average levels of reported distress, there were small degrees of placental DNA methylation variation for our three targeted genes (30, 31). However, even in this context, women's perceived stress was positively associated with higher DNA methylation. Our identified methylation blocks for *HSD11B2* and *NRC31* overlapped with CpG loci in other similar research (30) (see Figure 1). With respect to *HSD11B2*, our findings are

consistent with those of our prior work based on an animal model (32), as well as other studies with humans (11, 27, 29), showing that DNA methylation of this gene varies as a function of adversity during pregnancy. Increased DNA methylation of HSD11B2 leads to a downregulation of associated placental mRNA and its encoded protein, a barrier enzyme that inactivates cortisol (7). Elevated in utero exposure to cortisol is associated with changes in brain-behavior development, including increased emotionality and impaired learning and motor development (8). Here, higher perceived stress was associated with greater HSD11B2 DNA methylation, which in turn was associated with reduced fetal coupling. In reports from our group (3), as well as others (33), fetal exposure to higher levels of maternal cortisol is associated with less of the expected increase in coupling over gestation (3) and a failure to respond to a vibroacoustic stimulus (33). Fetal coupling reflects CNS development (17), and higher levels of coupling are associated with more mature neural integration at birth (20). Taken together, the findings suggest that maternal prenatal distress alters placental regulation of fetal cortisol exposure via increased DNA methylation of HSD11B2, resulting in a fetal risk phenotype of reduced coupling. However, as in a prior report (3), after controlling for HSD11B2 DNA methylation, greater maternal perceived stress had a direct and positive association with fetal coupling level, which is consistent with other data indicating that maternal distress exposure can have a facilitative effect on fetal development (20) and the possibility that maternal psychological stress influences fetal development independent of HPA axis activation (34).

Interestingly, our bisulfite sequencing data showed that a majority of placental cells in both high- and low-stress cases have no DNA methylation in the *HSD11B2* promoter region. Thus, the biologically relevant epigenetic change due to stress may be affecting only a small subpopulation of placental cells. Whether this subpopulation is specific to a particular layer, such as syncitio- or cytotrophoblast or mesenchyme, or reflects stochastic changes, remains to be defined by future work.

Higher maternal distress also was associated with increased placental DNA methylation of *FKBP5*, which in turn predicted reduced fetal coupling. One other report has related higher placental DNA methylation of *FKBP5* to increased arousal in newborns (14). *FKBP5* decreases the binding of cortisol to its receptor, leading to reduced cortisol responses; thus it may be that increased *FKBP5* DNA methylation leads to greater cortisol activation of glucocorticoid receptor targets within the placenta, leading to over activation of the HPA axis pathway in the developing fetus(14). In animal models, manipulations to increase in utero cortisol exposure result in reduced hippocampal weight and synaptogenesis, as well as altered density of glucocorticoid receptors in the amygdala and hippocampus, with consequent decrements in learning and memory (8); our coupling findings may reflect a downstream early behavioral marker of altered placental glucocorticoid receptor sensitivity.

Contrasting with one report of higher cortisol leading to upregulation of *HSD11B2* expression in cultured cells (15), yet similar to our results for DNA methylation from infant buccal swabs (35), we found no consistent associations between maternal cortisol and DNA methylation of glucocorticoid-related genes in the placenta. This could be a consequence of our limited cortisol assessment or our strategy to choose blocks of CpG sites for analyses based on contiguity across CpGs and associations with maternal distress. Inspection of

Figure 1 reveals that individual CpG sites were negatively associated with cortisol levels; we plan to pursue these effects in future studies. Unlike other studies showing associations between *NR3C1* DNA methylation and newborn outcomes (30, 36), we found no associations with fetal outcomes. Such inconsistencies could be resolved in larger cohorts using common CpG sites and fetal parameters through early development. Finally, we did not detect sex differences in placental DNA methylation, possibly due to our small sample size.

For this sample of generally low-distress pregnant women, an index of greater perceived stress was most consistently associated with increased placental DNA methylation across all three genes of interest. This finding indicates that during pregnancy, even the relatively common life experiences of feeling unable to "control important things in your life" and "cope with all the things you have to do" (22) are associated with alterations of DNA functioning in the placenta that, in turn, affect fetal development. That depression and stress were highly associated in this sample suggests that depressed pregnant women may influence fetal development via the associated biological changes in the placenta linked to perceived stress and indicate that management of life stress may be an effective intervention strategy.

In human studies of the Developmental Origins of Health and Disease model, it has been difficult to detect a biological mediator of prenatal maternal mood effects on the future child (7). Here, for the first time, we show a candidate mediator: namely, alteration in placenta DNA methylation. However, as in other reports (27, 29, 37, 38), we did not identify which biological signals enable maternal distress to affect placental DNA methylation. Genetic variation can significantly alter gene regulation (10, 14), and it is possible that maternal and/or fetal genes may predispose women to experience stress and influence gene regulation in the placenta, although the finding that the single donor egg subject's data conformed to our study's expectations (identified in Figure 2, as well as Figure S1 in the online data supplement) offers intriguing evidence against this hypothesis. The brain is socially constructed (39). Decades of research on developmental origins of health and disease indicate that this process begins in utero. Our data here add molecular support to these findings and underscore the clinical significance of distress during pregnancy as affecting the mother and her future child.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

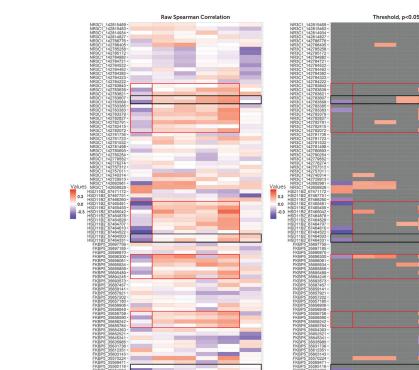
Supported by NIMH grant R01 MH092580 (to Dr. Monk, Champagne, Tycko).

The authors thank the research participants for their involvement in the study. The authors also thank Sierra Kuzava, Charles Lang, Gabrielle D. Agrocostea, and Grace Liu for assistance with data collection and management.

## References

- 1. Bale TL. Epigenetic and transgenerational reprogramming of brain development. Nat Rev Neurosci. 2015; 16:332–344. [PubMed: 25921815]
- 2. Kim DR, Bale TL, Epperson CN. Prenatal programming of mental illness: current understanding of relationship and mechanisms. Curr Psychiatry Rep. 2015; 17:5. [PubMed: 25617041]
- Doyle C, Werner E, Feng T, et al. Pregnancy distress gets under fetal skin: maternal ambulatory assessment & sex differences in prenatal development. Dev Psychobiol. 2015; 57:607–625. [PubMed: 25945698]
- Lesseur C, Paquette AG, Marsit CJ. Epigenetic regulation of infant neurobehavioral outcomes. Med Epigenet. 2014; 2:71–79. [PubMed: 25089125]
- 5. Glover V. Prenatal stress and its effects on the fetus and the child: possible underlying biological mechanisms. Adv Neurobiol. 2015; 10:269–283. [PubMed: 25287545]
- Bale TL, Baram TZ, Brown AS, et al. Early life programming and neurodevelopmental disorders. Biol Psychiatry. 2010; 68:314–319. [PubMed: 20674602]
- 7. Reynolds RM, Labad J, Buss C, et al. Transmitting biological effects of stress in utero: implications for mother and offspring. Psychoneuroendocrinology. 2013; 38:1843–1849. [PubMed: 23810315]
- Moisiadis VG, Matthews SG. Glucocorticoids and fetal programming part 1: outcomes. Nat Rev Endocrinol. 2014; 10:391–402. [PubMed: 24863382]
- Glover V, Bergman K, Sarkar P, et al. Association between maternal and amniotic fluid cortisol is moderated by maternal anxiety. Psychoneuroendocrinology. 2009; 34:430–435. [PubMed: 19019559]
- Lester BM, Conradt E, Marsit CJ. Are epigenetic changes in the intrauterine environment related to newborn neurobehavior? Epigenomics. 2014; 6:175–178. [PubMed: 24811786]
- Conradt E, Lester BM, Appleton AA, et al. The roles of DNA methylation of NR3C1 and 11beta-HSD2 and exposure to maternal mood disorder in utero on newborn neurobehavior. Epigenetics. 2013; 8:1321–1329. [PubMed: 24135662]
- Räikkönen K, O'Reilly JR, Pesonen AK, et al. Associations between maternal level of education and occupational status with placental glucocorticoid regeneration and sensitivity. Clin Endocrinol (Oxf). 2014; 81:175–182. [PubMed: 24443823]
- Räikkönen K, Pesonen AK, O'Reilly JR, et al. Maternal depressive symptoms during pregnancy, placental expression of genes regulating glucocorticoid and serotonin function and infant regulatory behaviors. Psychol Med. 2015; 45:3217–3226. [PubMed: 26096463]
- Paquette AG, Lester BM, Koestler DC, et al. Placental FKBP5 genetic and epigenetic variation is associated with infant neurobehavioral outcomes in the RICHS cohort. PLoS One. 2014; 9:e104913. [PubMed: 25115650]
- van Beek JP, Guan H, Julan L, et al. Glucocorticoids stimulate the expression of 11betahydroxysteroid dehydrogenase type 2 in cultured human placental trophoblast cells. J Clin Endocrinol Metab. 2004; 89:5614–5621. [PubMed: 15531519]
- Binder EB. The role of FKBP5, a co-chaperone of the glucocorticoid receptor in the pathogenesis and therapy of affective and anxiety disorders. Psychoneuroendocrinology. 2009; 34(suppl 1):S186–S195. [PubMed: 19560279]
- DiPietro JA. Neurobehavioral assessment before birth. Ment Retard Dev Disabil Res Rev. 2005; 11:4–13. [PubMed: 15856447]
- DiPietro JA, Caulfield L, Costigan KA, et al. Fetal neurobehavioral development: a tale of two cities. Dev Psychol. 2004; 40:445–456. [PubMed: 15122969]
- 19. DiPietro JA, Hodgson DM, Costigan KA, et al. Development of fetal movement—fetal heart rate coupling from 20 weeks through term. Early Hum Dev. 1996; 44:139–151. [PubMed: 8745426]
- DiPietro JA, Kivlighan KT, Costigan KA, et al. Prenatal antecedents of newborn neurological maturation. Child Dev. 2010; 81:115–130. [PubMed: 20331657]
- 21. Yali AM, Lobel M. Coping and distress in pregnancy: an investigation of medically high risk women. J Psychosom Obstet Gynaecol. 1999; 20:39–52. [PubMed: 10212886]

- 22. Cohen S, Kamarck T, Mermelstein R. A global measure of perceived stress. J Health Soc Behav. 1983; 24:385–396. [PubMed: 6668417]
- 23. Williams JB. A structured interview guide for the Hamilton Depression Rating Scale. Arch Gen Psychiatry. 1988; 45:742–747. [PubMed: 3395203]
- 24. Hamilton M. The assessment of anxiety states by rating. Br J Med Psychol. 1959; 32:50–55. [PubMed: 13638508]
- 25. Evans LM, Myers MM, Monk C. Pregnant women's cortisol is elevated with anxiety and depression—but only when comorbid. Arch Women Ment Health. 2008; 11:239–248.
- 26. Ji S, Long Q, Newport DJ, et al. Validity of depression rating scales during pregnancy and the postpartum period: impact of trimester and parity. J Psychiatr Res. 2011; 45:213–219. [PubMed: 20542520]
- Appleton AA, Armstrong DA, Lesseur C, et al. Patterning in placental 11-B hydroxysteroid dehydrogenase methylation according to pre-natal socioeconomic adversity. PLoS One. 2013; 8:e74691. [PubMed: 24040322]
- Marabita F, Almgren M, Lindholm ME, et al. An evaluation of analysis pipelines for DNA methylation profiling using the Illumina HumanMethylation450 BeadChip platform. Epigenetics. 2013; 8:333–346. [PubMed: 23422812]
- O'Donnell KJ, Bugge Jensen A, Freeman L, et al. Maternal prenatal anxiety and downregulation of placental 11β-HSD2. Psychoneuroendocrinology. 2012; 37:818–826. [PubMed: 22001010]
- Appleton AA, Lester BM, Armstrong DA, et al. Examining the joint contributionofplacentalNR3C1andHSD11B2methylationfor infant neurobehavior. Psychoneuroendocrinology. 2015; 52:32–42. [PubMed: 25459891]
- Non AL, Binder AM, Barault L, et al. DNA methylation of stress-related genes and LINE-1 repetitive elements across the healthy human placenta. Placenta. 2012; 33:183–187. [PubMed: 22222044]
- Jensen Peña C, Monk C, Champagne FA. Epigenetic effects of prenatal stress on 11βhydroxysteroid dehydrogenase-2 in the placenta and fetal brain. PloS One. 2012; 7(6):e39791. [PubMed: 22761903]
- Glynn LM, Sandman CA. Sex moderates associations between prenatal glucocorticoid exposure and human fetal neurological development. Dev Sci. 2012; 15:601–610. [PubMed: 22925508]
- Beijers R, Buitelaar JK, de Weerth C. Mechanisms underlying the effects of prenatal psychosocial stress on child outcomes: beyond the HPA axis. Eur Child Adolesc Psychiatry. 2014; 23:943–956. [PubMed: 24875898]
- Braithwaite EC, Kundakovic M, Ramchandani PG, et al. Maternal prenatal depressive symptoms predict infantNR3C1 1F and BDNF IV DNA methylation. Epigenetics. 2015; 10:408–417. [PubMed: 25875334]
- Bromer C, Marsit CJ, Armstrong DA, et al. Genetic and epigenetic variation of the glucocorticoid receptor (NR3C1) in placenta and infant neurobehavior. Dev Psychobiol. 2013; 55:673–683. [PubMed: 22714792]
- Gheorghe CP, Goyal R, Mittal A, et al. Gene expression in the placenta: maternal stress and epigenetic responses. Int J Dev Biol. 2010; 54:507–523. [PubMed: 19876832]
- Blakeley PM, Capron LE, Jensen AB, et al. Maternal prenatal symptoms of depression and down regulation of placental mono-amine oxidase A expression. J Psychosom Res. 2013; 75:341–345. [PubMed: 24119940]
- 39. Eisenberg L. The social construction of the human brain. Am J Psychiatry. 1995; 152:1563–1575. [PubMed: 7485618]



Coupling HAM-A HAM-D

PDQ PSS

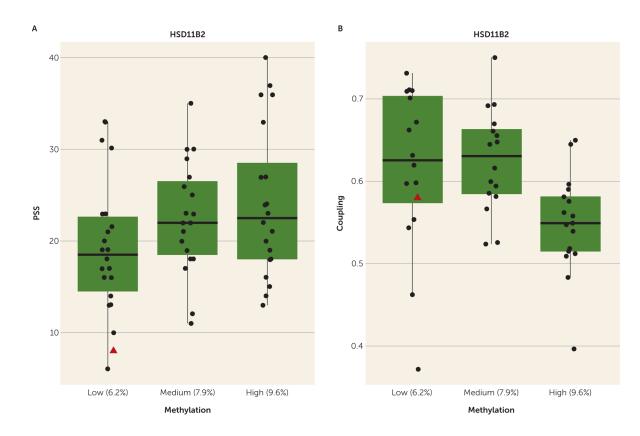
## FIGURE 1. Heat Maps of the Spearman Correlation Matrices Between Methylation and Fetal and Maternal Variables $^{\rm a}$

HAM-D

Cortisol AUC

<sup>a</sup>The identifiers on the left side of the heat maps are the gene name, followed by the CpG cytosine base pair position in the hg19 assembly of the human genome. In the red-blue scale, white corresponds to zero correlation, purple is a strong negative correlation, and red is a strong positive correlation. In the right panel, the heat map is presented with the threshold of a p value <0.05. In the heat maps, the red boxes indicate the blocks sharing similar correlation patterns of neighboring CpG sites with maternal or fetal variables. Previous studies reported significant associations between methylation patterns in these genes and maternal and/or infant outcomes, identified by the black boxes (30). The previously identified CpG sites show overlapping regions with our identified CpG sites in *HSD11B2* and *NR3C1*, but not in *FKBP5*. AUC=area under the curve; HAM-A=Hamilton Anxiety Rating Scale; HAM-D=Hamilton Depression Rating Scale; PDQ=Prenatal Distress Questionnaire; PSS=Perceived Stress Scale.

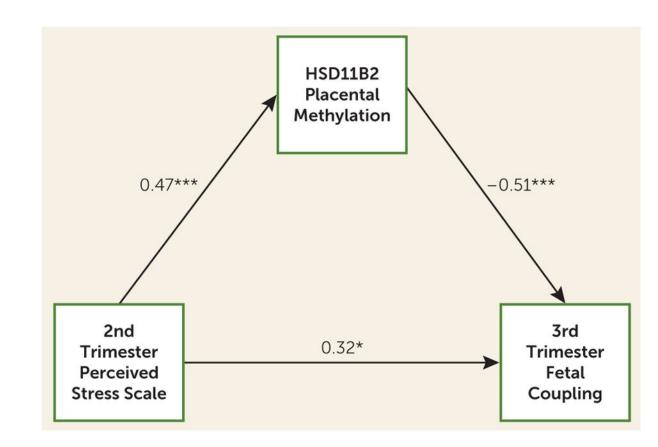
Monk et al.



## FIGURE 2. Tertiles of *HSD11B2* Promoter Region Methylation in Relation to the Perceived Stress Scale and Fetal Coupling<sup>a</sup>

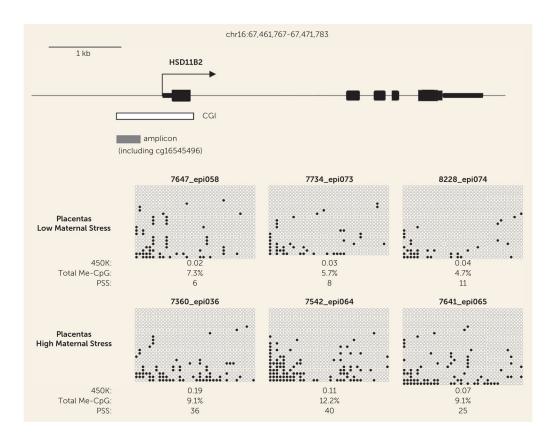
<sup>a</sup>The left graph (A) shows the results for the Perceived Stress Scale. Placentas in the lowest tertile of methylation are associated with lower mean Perceived Stress Scale scores than those in the highest tertile (p=0.0337). The mean Perceived Stress Scale scores are indicated for each tertile of methylation. The right graph (B) shows the results for fetal coupling. Placentas in the lowest tertile of methylation are associated with higher fetal coupling than those in the highest tertile (p=0.0050). For calculating mean methylation values, the fractional methylation values for the 10 queried CpGs in the *HSD11B2* promoter\* were averaged. The mean coupling values are indicated for each tertile of methylation. The red triangle denotes the donor egg participant.

\*(cg27130954\_16\_67464331\_HSD11B2, cg02955911\_16\_67464503\_HSD11B2, cg16545496\_16\_67464522\_HSD11B2, cg02322203\_16\_67464610\_HSD11B2, cg08789908\_16\_67464707\_HSD11B2, cg07545640\_16\_67464829\_HSD11B2, cg10686375\_16\_67464878\_HSD11B2, cg12670061\_16\_67465042\_HSD11B2, cg07724674\_16\_67465455\_HSD11B2, cg20981893\_16\_67465461\_HSD11B2).



#### FIGURE 3. Mediation of HSD11B2 Methylation on the Association Between Maternal Stress and Fetal Coupling ^a

<sup>a</sup> The Perceived Stress Scale was negatively associated with fetal coupling through *HSD11B2*. After controlling for *HSD11B2*, there remained a positive direct relationship between the Perceived Stress Scale and fetal coupling. \*\*\*\*p<0.001; \*\*p<0.01; \*p<0.05.



## FIGURE 4. Validation of the Beadchip Data and Fine-Mapping of CpG Methylation in the*HSD11B2* Promoter Region Using Bisulfite Sequencing<sup>a</sup>

<sup>a</sup>The upper panel shows a map of the gene, including the promoter CpG island (CGI) and the bisulfite sequencing amplicon, which includes Illumina cg16545496 (see Figure 1), as well as 35 surrounding CpGs. The bottom panels show the results of bisulfite sequencing performed on three placentas from pregnancies with low maternal stress (as indicated by Perceived Stress Scale [PSS] values) and three placentas from pregnancies with high maternal stress (each subject's study number is listed [e.g., 7647\_epi058]). Indicated are the fractional methylation values (AVG\_Beta) from the Beadchip data for cg16545496 and the percent methylation values from the bisulfite sequencing data over the entire set of 36 CpGs in the amplicon. In bisulfite sequencing, each row is a clone corresponding to one genomic DNA molecule and thus is derived initially from one cell in the placenta. Thus, most placental cells have no DNA methylation in this region, but a minor subpopulation of cells has modest gains of methylation with stress. Open circles=unmethylated CpGs; filled black circles=methylated CpGs. Polymerase chain reaction primer sequences were Forward: TTAGGTTTAAGTTTTGGAAGGAAAG, and Reverse: CTCAAATAAACACATACCACTCAC.

## TABLE 1

## Descriptive Statistics of the Study Sample

Characteristic	Analysis	
	Mean	SD
Maternal		
Age (years)	29	5.99
Maternal pre-pregnancy BMI (kg/m <sup>2</sup> )	26.74	6.04
Hamilton Depression Rating Scale	7.54	6.60
Hamilton Anxiety Rating Scale	6.89	5.59
Perceived Stress Scale	21.85	7.59
Prenatal Distress Questionnaire	4.00 <sup>a</sup>	2.50
Cortisol area under the curve (log transformed)	9.69 <sup>b</sup>	0.55
	Ν	%
Ethnicity		
Hispanic	46	75
Non-Hispanic	15	25
Education (greater than high school)	49	80
	Mean	SD
Fetus		
Fetal coupling	$0.60^{C}$	0.08
Infant		
Birth weight (grams)	3,411.04	454.45
Gestational age at birth (weeks)	39.60	1.12
	Ν	%
Sex		
Male	30	49
Female	31	51
	Mean	SD
Placental methylation (overall percent)		
NR3C1	3.68%	0.96%
HSD11B2	7.87%	1.60%
FKBP5 (block A)	19.5%	1.46%
<i>FKBP5</i> (block B)	4.06%	1.04%

 $^{a}$ Analysis included 59 participants (two cases were incomplete due to time constraints).

<sup>b</sup>Analysis included 43 participants (14 participants did not complete the saliva sample correctly; four missed a session).

<sup>C</sup>Analysis included 49 participants (data were missing due to equipment malfunction [N=3]; insufficient data collection [N=3]; and missed appointments [N=6]).